IEQ CAPITAL, LLC

WEBSITE PRIVACY POLICY

Last Updated: October 2026

This Website Privacy Policy ("Privacy Policy" or "Policy") explains IEQ Capital, LLC ("IEQ," "we," or "us," or "our") data collection and processing practices and rights California residents may have with respect to personal information we collect on our website (the "Site") and offline.

This Policy does not apply to personal information we collect that is subject to federal or state financial privacy laws. Those financial privacy laws apply to personal information we collect from our clients and others who have expressed an interest in the products or services we have to offer. You can find information about our data collection practices with respect to personal information subject to financial privacy laws in our Client Privacy Policy, which can be found at https://ieqcapital.com/privacy/

This Privacy Policy also does not apply to the personal information we collect about employees, independent contractors, or job applicants when applying for a position with us.

Notice at Collection - Personal Information We Collect

We collect the following categories of personal information:

- **Personal identifiers**: name, email address, IP address, unique personal identifier, online identifier.

- **Internet or other electronic activity information**: your browsing behavior, your interaction with our Site and advertisements, your device type, screen resolution, operating system version, and Internet browser type and version, and information about websites that referred you to our Site.

- **Professional information**: job title, business with which you are affiliated, information about your employer.

- **Inferences about you**

We have collected the same categories of personal information in the 12 months prior to the date of this Policy.

Notice at Collection: Purposes for Collection of Personal Information

We collect your personal information to provide our Site; to improve the performance and user experience on our Site; for data security purposes, to prevent fraud, activities that violate our Terms of Service or other contracts, or that are illegal; and to protect our rights and the rights and safety of our users or others.

For those who interact with us in a commercial capacity, we collect your personal information to engage in business transactions with the entity you represent and market to or engage in diligence with the entities you represent.

Notice at Collection: Sale or Sharing of Personal Information

When we engage in digital advertising, we may sell the following categories of personal information (according to the broad definition of "sell" under the CCPA) or we may share them for purposes of cross-context behavioral advertising: personal identifiers and internet or other electronic activity information.

These categories of personal information are sold to or shared for cross-context behavioral advertising to advertising networks and other companies that facilitate digital advertising. We engage in such sales and sharing to facilitate digital advertising that is able to reach people that are most likely to be interested in our products or services. We do so by allowing third parties to place cookies or other tracking technologies on our Site that may collect information about your online activities over time and across different websites or applications. For more information about the use of cookies and other tracking technologies, see the section "Our Use of Cookies and Analytics" below.

To opt out of such sales and sharing of personal information, click here: https://ieqcapital.com/your-privacy-choices/

We do not sell or share for cross-context behavioral advertising any of the other categories of Personal Information we collect.

Notice at Collection: Retention Periods

We retain the categories of personal information for the length of time necessary to operate our business, comply with legal obligations, and to protect our legal rights.

Sources From Which We Collect Personal Information

We collect personal information from Site visitors.

Use or Disclosure of Sensitive Personal Information

We do not use or disclose personal information to infer characteristics about individuals.

Disclosure of Personal Information For Business Purposes in the Past 12 Months

In the 12 months prior to the date of this Policy, we disclosed the following categories of personal information to our service providers and contractors for business purposes:

- **Personal identifiers**: name, email address, IP address, unique personal identifier, online identifier.

- **Internet or other electronic activity information**: your browsing behavior, your interaction with our Site and advertisements, your device type, screen resolution, operating system version, and Internet browser type and version, and information about websites that referred you to our Site

- **Professional information**: job title, business with which you are affiliated, information about your employer

Business Purposes for Disclosures

We disclose this personal information to assist with IT-related functions; to provide security services and cloud-based data storage; work with outside vendors and suppliers; prevent fraud and other illegal activities; and to obtain professional advice about legal and accounting matters.

We may also share personal information as required or permitted by law to comply with a subpoena or similar legal process or government request, or when we believe in good faith that disclosure is legally required or otherwise necessary to protect our rights and property or the rights, property or safety of others, including to law enforcement agencies, and judicial and regulatory authorities. We may also share or transfer your personal information to a third party in the event of an actual or potential sale, merger, reorganization of our entity or other restructuring.

Our Use of Cookies and Other Tracking Technologies

The functionality of our Site is based, in part, on your browser's ability to accept cookie files. Cookies are pieces of information that a website transfers to your hard drive that allow you to more easily navigate a website and customize website features that are updated each time you visit a website.

Cookies also help us track repeat visits to our Site. Most browsers are initially set up to accept cookies. You may set your preferences to refuse cookies; however, this may limit your access to some areas of our Site.

We may also use, in addition to cookies, pixel tags, web beacons and other similar tracking technologies ("tracking technologies") to automatically collect information through the Site. We may use these tracking technologies to help identify irregular behavior, prevent fraudulent activity and improve security, as well as making it possible for you to save your preferences and help us understand how you interact with our Services.

We also allow third parties (including Google and Meta) to use third party tracking technologies to collect or receive information about how you interact with our Site and elsewhere on the Internet and use that information to provide analytics and other measurements services, and to deliver and target advertisements tailored to you. Third parties may also use some of these technologies to assist us in determining if you require assistance or are having problems navigating on our Site, and this may include technologies that record your interactions with the Site, including without limitation, your keystrokes, mouse clicks, screen touches, and information about when, how and from where you accessed our Site. You may set your browser to notify you when you receive a

cookie. Many web browsers also allow you to block cookies. You can disable cookies from your computer system by following the instructions on your browser or at www.youradchoices.com. See "California privacy rights" section below for additional information about how to opt out of the use of some of these types of tracking technologies.

We use Google Analytics to evaluate the use of our website. Google Analytics uses cookies and other identifiers to collect information, such as how often users visit a website, what pages they visit when they do so, and what other websites they visited prior to visiting our Site. For information about Google's privacy practices, please refer to the Google Privacy Policy: https://policies.google.com/privacy?hl=en-US#infocollect.

Personal Information of Minors

We do not knowingly collect or sell the personal information of minors under age 16.

How We Keep Your Personal Information Secure

We maintain reasonable security measures appropriate to the nature of the personal information that we collect, use, retain, transfer or otherwise process. However, data security incidents and breaches can occur due to a variety of factors that cannot reasonably be prevented. Accordingly, it cannot be assumed that the occurrence of any given incident or breach results from our failure to implement and maintain reasonable security.

California Residents' Rights Regarding Personal Information

Pursuant to California's privacy law that applies to us, California residents may exercise the following rights with respect to personal information that is in scope for this Policy:

Right to Know: The right to ask us for the following information from us with respect to the personal information that we have collected about you since January 1, 2022:

- Categories of personal information we have collected about you;
- Categories of sources from which such personal information was collected;
- Categories of personal information that the business sold or disclosed for a business purpose about you;
- Categories of third parties to whom your personal information was sold or disclosed for a business purpose; and
- The business or commercial purpose for collecting or selling your personal information.

Right to Access/Copy: The right to access or request a copy of your personal information.

Right To Delete: The right to request deletion of your personal information.

Right to Correct: The right to request that we correct inaccuracies in your personal information.

Rights to Opt Out: The right to request that we stop "selling" or sharing personal information for cross-context behavioral advertising. California privacy law has a broad definition of "sale" that includes disclosing personal information to a third party in exchange for services and is not limited to sharing in exchange for money.

Opt-out Preference Signals: An opt-out preference signal is sent by a platform, technology, or mechanism on behalf of consumers and communicates a consumer's choice to opt out of the sale and sharing of personal information for cross-context behavioral advertising with all businesses that recognize the signal, without having to make individualized requests. The signal can be set on certain browsers or through opt-out plug-in tools.

We recognize the Global Privacy Control signal and do so at the browser level. This means that if the signal is sent through a specific browser, we will recognize it for that browser only, and only with respect to the identifiers for that browser. If you would like more information about opt-out preference signals, including how to use them, the Global Privacy Control website has such information (https://globalprivacycontrol.org/).

We do not respond to the DNT or "Do Not Track" signal.

Exercising Your Rights

To exercise rights to know, access, delete, or correct, or to ask a question about your data subject rights, contact us at compliance@IEQcapital.com or (650) 420-6386.

To exercise opt-out rights, submit your request here.

If you exercise any of the rights explained in this Privacy Policy, we will continue to treat you fairly.

Exercising Your Rights Using Authorized Agents

Agents may submit opt-out requests on behalf of California residents. Agents can submit opt-out requests here.

If an agent submits other types of requests, the agent will need to provide us with your signed permission indicating the agent has been authorized to submit the request on your behalf. You will also be required to verify your identity directly with us or confirm with us that you provided the agent with permission to submit the request. Agents can submit requests on behalf of California residents (other than opt-out requests) via email to compliance@IEQcapital.com.

Please note that this subsection does not apply when an agent is authorized to act on your behalf pursuant to a valid power of attorney. Any such requests will be processed in accordance with state law pertaining to powers of attorney.

Verification of Requests

When you submit requests other than opt-out requests, we will take steps to verify your identity. These steps may include asking you for information that we or our identity verification knows about you. If we are unable to verify your identity with the degree of certainty required, we will not be able to respond to the request. We will notify you to explain the basis of any denial.

We cannot respond to your request or provide you with personal information if we cannot verify your identity or authority to make the request and confirm the personal information relates to you. In limited circumstances, we may need to contact you to obtain additional information to process your request.

Changes to This Policy

We will review and update this Policy as required to keep current with rules and regulations, new technologies and security standards. We will post those changes on the website or update the Privacy Policy modification date below. In certain cases and if the changes are material, you will be notified via email.

Accessibility

We are committed to ensuring that our communications are accessible to people with disabilities. To make accessibility-related requests or report barriers, please contact us at ieq@ieqcapital.com

Contact Us

If there are any questions regarding this Privacy Policy or to request a copy of this Privacy Policy in another format you may contact us at compliance@IEQcapital.com or (650) 420-6386.